MAN DA 2004 8 3

05.05.04

3628

Filed Via Express Mail Rec. No.: <u>EL 980147571US</u>

On: May 4, 2004

Fric L. Maldonade

Any fee due as a result of this paper, not covered by an chelosed check, may be charged on Deposit Acct. No.50-1290.

Attorney Docket No. NECW 18.788 (100806-16753)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Haruhiko KINOSHITA

Serial No.:

09/897,328

Filed:

July 2, 2001

Title:

LOAN EXAMINATION...

Examiner:

Pedro R. Kanof

Art Unit:

3628

Conf. No.:

2068

May 4, 2004

Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copy of the document listed thereon. This document contains information in which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

As this statement is being filed prior to issuance of a first Office Action, no fee is due.

For documents written in a language other than English, English language abstracts are annexed thereto explaining relevancy in accordance with 37 CFR §1.98 (a)(3).

Also attached is a copy of a Japanese Office Action dated April 6, 2004 from the corresponding Japanese Patent Application. All of the documents listed in Form PTO- 1449 appear in the Japanese Office Action.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

The present Information Disclosure Statement is being submitted in compliance with 37 §CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper may be charged on Deposit Account 50-1290.

Respectfully submitted,

Michael I. Markowitz

Reg. No. 30,659

Customer No.: 026304 Telephone: (212) 940-8800

Fax: (212) 940-8986

DOCKET NO.: NECW 18.788 (100806-16753)

NO MAN	Please type a plus sign $(+) \rightarrow +$	Patent and Trademark Office U	J.S. DEPARTMENT	OF COMMERCE
Ten 1	DEMPE TO	U.S. Department of Commerce	Application No.	: 09/897,328
& TRA		Patent and Trademark Office	Filing Date	: July 2, 2001
	INFORMATION DISC		First Named Invent	or: H. KINOSHITA
	STATEMENT BY APP	TEMENT BY APPLICANT		: 3628
	Sheet 1 of 1		Examiner Name	: P.R. KANOF
			Attorney Docket No	o. : NECW 18.788

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
	_					

FOREIGN DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		08-147381	JP	HITACHI LTD	06/07/1996	
		-				

Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	appropriate), title of the item (book, magazine, jo	ude name of the author (in CAPITAL LETTERS), title of the article (when ropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), , , page(s), volume-issue number(s), publisher, country, where published, source.			
Examiner Signature			Date Considered			

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³ Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1^a if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.